

# ECE171A: Linear Control System Theory

## Lecture 12: Nyquist Stability

Nikolay Atanasov

[natanasov@ucsd.edu](mailto:natanasov@ucsd.edu)

**UC San Diego**

**JACOBS SCHOOL OF ENGINEERING**  
Electrical and Computer Engineering

# Outline

Principle of the Argument

Nyquist's Stability Criterion

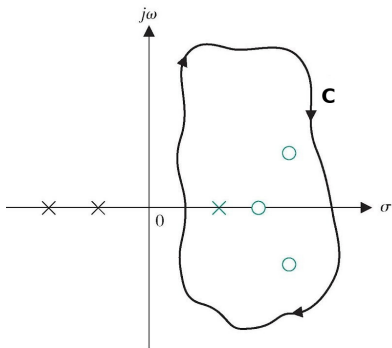
# Outline

Principle of the Argument

Nyquist's Stability Criterion

## Contours in the Complex Plane

- ▶ A **contour**  $C$  is a piecewise smooth path in the complex plane
- ▶ A contour  $C$  is **closed** if it starts and ends at the same point
- ▶ A contour  $C$  is **simple** if it does not cross itself at any point
- ▶ A parameterization  $z(\theta) \in \mathbb{C}$  of a contour has direction indicated by increasing the parameter  $\theta \in \mathbb{R}$
- ▶ **Cauchy's Principle of the Argument:** relates the arguments (phases) of the zeros and poles of a rational function  $G(s)$  inside a contour  $C$  to the shape of new closed contour  $G(C)$  obtained by evaluating  $G(s)$  at all  $s$  on  $C$



## Evaluating $G(s)$ along a Contour

- ▶ Consider a rational function:

$$G(s) = \kappa \frac{(s - z_1) \cdots (s - z_m)}{(s - p_1) \cdots (s - p_n)}$$

- ▶ At each  $s$ ,  $G(s)$  is a complex number with magnitude and phase:

$$|G(s)| = |\kappa| \frac{\prod_{i=1}^m |s - z_i|}{\prod_{i=1}^n |s - p_i|} \quad \angle G(s) = \angle \kappa + \sum_{i=1}^m \angle (s - z_i) - \sum_{i=1}^n \angle (s - p_i)$$

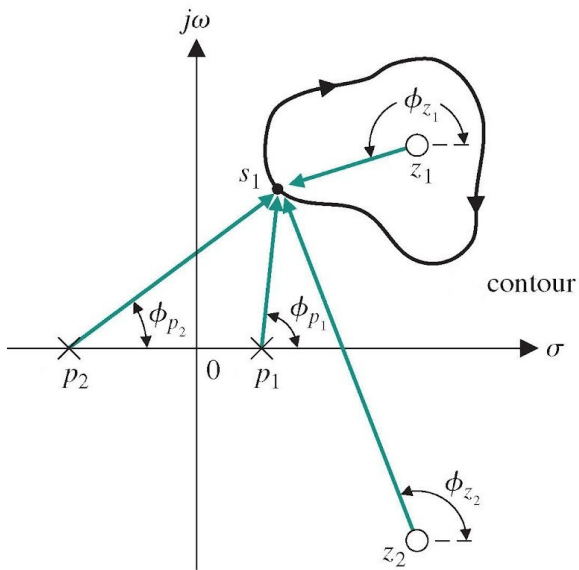
- ▶ Graphical evaluation of the magnitude and phase:

- ▶  $|s - z_i|$  is the length of the vector from  $z_i$  to  $s$
- ▶  $|s - p_i|$  is the length of the vector from  $p_i$  to  $s$
- ▶  $\angle (s - z_i)$  is the angle from the real axis to the vector from  $z_i$  to  $s$
- ▶  $\angle (s - p_i)$  is the angle from the real axis to the vector from  $p_i$  to  $s$

## Evaluating $G(s)$ along a Contour

- ▶ Let  $C$  be a simple closed clockwise contour  $C$  in the complex plane
- ▶ Evaluating  $G(s)$  at all points on  $C$  produces a new closed contour  $G(C)$
- ▶ **Assumption:**  $C$  does not pass through the origin or any of the poles or zeros of  $G(s)$  (otherwise  $\angle G(s)$  is undefined)
- ▶ A zero  $z_i$  outside the contour  $C$ :
  - ▶ As  $s$  moves around the contour  $C$ , the vector  $s - z_i$  swings up and down but not all the way around
  - ▶ The net change in  $\angle(s - z_i)$  is 0
- ▶ A zero  $z_i$  inside the contour  $C$ :
  - ▶ As  $s$  moves around the contour  $C$ , the vector  $s - z_i$  turns all the way around
  - ▶ The net change in  $\angle(s - z_i)$  is  $-360^\circ$
- ▶ A pole  $p_i$  outside the contour  $C$ : the net change in  $\angle(s - p_i)$  is 0
- ▶ A pole  $p_i$  inside the contour  $C$ : the net change in  $\angle(s - p_i)$  is  $-360^\circ$

## Evaluating $G(s)$ along a Contour



## Principle of the Argument

- ▶ Let  $Z$  and  $P$  be the number of zeros and poles of  $G(s)$  inside  $C$
- ▶ As  $s$  moves around  $C$ ,  $\angle G(s)$  undergoes a net change of  $-(Z - P)360^\circ$
- ▶ A net change of  $-360^\circ$  means that the vector from 0 to  $G(s)$  swings clockwise around the origin one full rotation
- ▶ A net change of  $-(Z - P)360^\circ$  means that the vector from 0 to  $G(s)$  must encircle the origin in clockwise direction  $(Z - P)$  times

### Cauchy's Principle of the Argument

Consider a rational function  $G(s)$  and a simple closed clockwise contour  $C$ . Let  $Z$  and  $P$  be the number of zeros and poles of  $G(s)$  inside  $C$ . Then, the contour generated by evaluating  $G(s)$  along  $C$  will encircle the origin in a clockwise direction  $Z - P$  times.



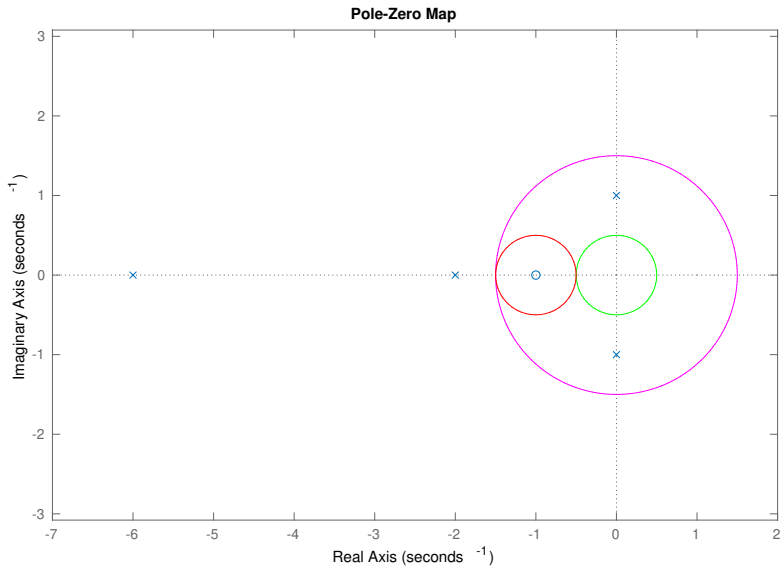
## Winding Number

- ▶ To determine the number of encirclements of a point  $s$  by a contour  $\Gamma$ :
  1. Fix a pin at  $s$  pointing out of the page
  2. Attach a string from the pin to the contour  $\Gamma$
  3. Let the end of the string attached to  $\Gamma$  traverse the contour
- ▶ The **winding number**  $n(\Gamma, s)$  of  $\Gamma$  about  $s$  is equal to the number of times the string winds up on the pin when  $\Gamma$  is traversed:

$$n(\Gamma, s) = \frac{1}{2\pi j} \oint_{\Gamma} \frac{1}{s - z} dz$$

## Principle of the Argument: Example

- ▶ Pole-zero map for  $G(s) = \frac{10(s+1)}{(s+2)(s^2+1)(s+6)}$



## Principle of the Argument: Example

- ▶ A circle contour  $C$  centered at the origin with radius 0.5 (green)
- ▶ The contour may be parameterized by  $z(\theta) = 0.5e^{-j\theta}$  for  $\theta \in [0, 2\pi]$
- ▶ The contour  $C$  is mapped by  $G(s)$  to a new contour (from blue to red), e.g., parameterized by  $G(z(\theta))$  for  $\theta \in [0, 2\pi]$

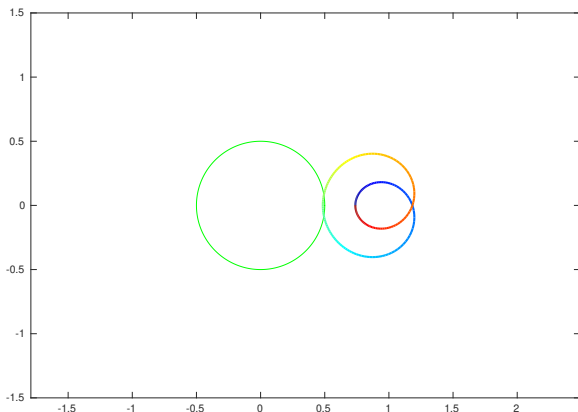


Figure: The origin is encircled 0 times clockwise

## Principle of the Argument: Example

- ▶ A circle contour  $C$  centered at  $(-1, 0)$  with radius 1 (red)
- ▶ The contour  $C$  is mapped by  $G(s)$  to a new contour (from blue to red)

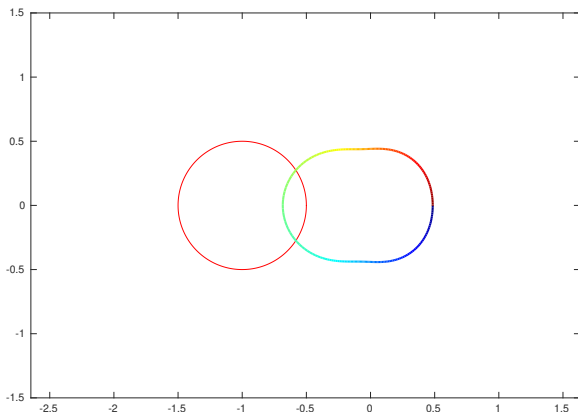


Figure: The origin is encircled 1 time clockwise

## Principle of the Argument: Example

- ▶ A circle contour  $C$  centered at the origin with radius 1.5 (magenta)
- ▶ The contour  $C$  is mapped by  $G(s)$  to a new contour (from blue to red)

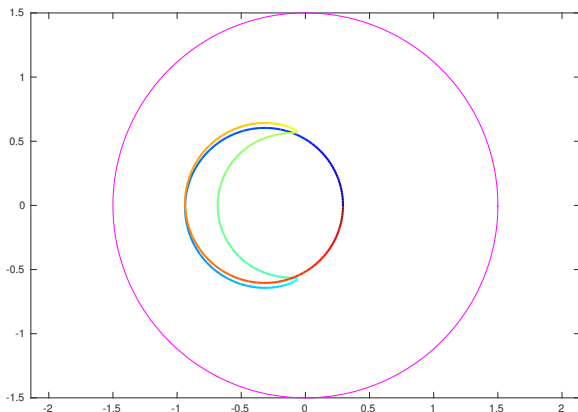


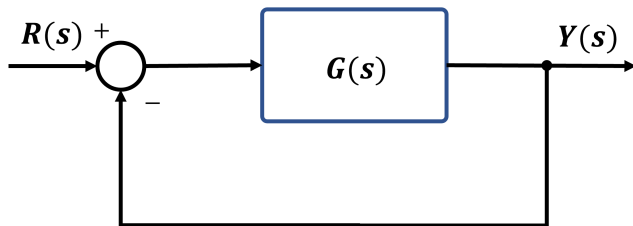
Figure: The origin is encircled 1 time counterclockwise

# Outline

Principle of the Argument

Nyquist's Stability Criterion

## Stability of Feedback Systems



- ▶ Consider a feedback control system with open-loop transfer function  $G(s)$  (controller and plant) and closed-loop transfer function:

$$T(s) = \frac{G(s)}{1 + G(s)}$$

- ▶ Testing BIBO stability using the poles of  $T(s)$  requires knowledge of  $G(s)$  and gives little guidance for control design, i.e., how should the controller be modified to make an unstable system stable?
- ▶ Given a Bode plot of  $G(s)$ , we aim to understand the stability of  $T(s)$

## Nyquist's Idea

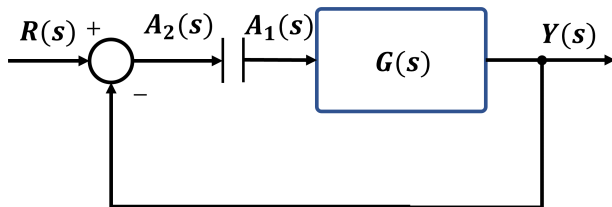
- ▶ **Harry Nyquist** made important contributions to control theory (stability of feedback systems), electronics (thermal noise), and communication theory (telegraph)
- ▶ Nyquist proposed an idea to determine the stability of a closed-loop system by investigating how sinusoidal signals propagate around the feedback loop
- ▶ Similar to return difference, break the feedback loop and ask whether a signal injected at  $G(s)$  has larger or smaller magnitude when it returns to  $G(s)$
- ▶ Nyquist's idea allows reasoning about **closed-loop stability** based on the **frequency response of the open-loop transfer function**
- ▶ Nyquist's stability criterion utilizes a contour  $C$  in the complex plane to relate the locations of the **open-loop poles** and the **closed-loop poles**



H. Nyquist



## Nyquist's Idea

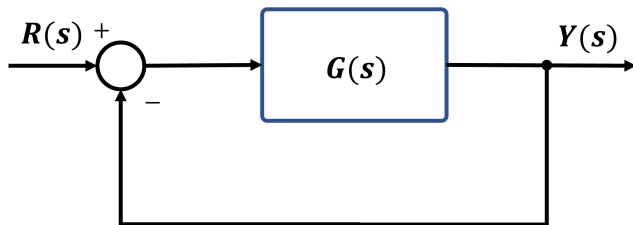


- ▶ Suppose that a sinusoid of frequency  $\omega$  is injected at  $A_1(s)$ . In steady state, the signal at  $A_2(s)$  will be a sinusoid with the same frequency  $\omega$ , magnitude  $|G(j\omega)|$ , and phase  $180^\circ + \angle G(j\omega)$
- ▶ **Critical point:** the signals at  $A_1(s)$  and  $A_2(s)$  are identical if:

$$|G(j\omega)| = 1 \quad \text{and} \quad \angle G(j\omega) = -180^\circ \quad \Leftrightarrow \quad G(j\omega) = -1$$

- ▶ **Nyquist's idea:** Let  $\omega_p$  be such that  $\angle G(j\omega_p) = -180^\circ$ . A feedback control system is stable if  $|G(j\omega_p)| < 1$  since the signal at  $A_2(s)$  will have smaller amplitude than the injected signal at  $A_1(s)$ .

## Open-Loop Poles vs Closed-Loop Poles

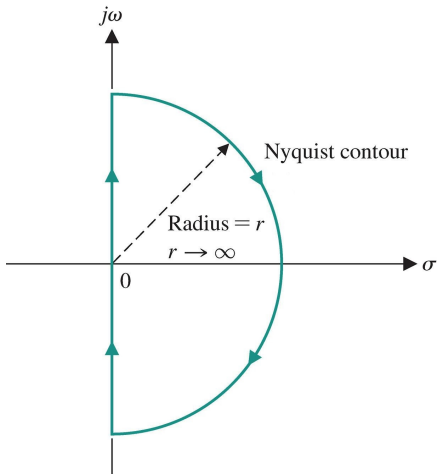


- ▶ Open-loop transfer function:  $G(s) = \frac{b(s)}{a(s)}$
- ▶ Closed-loop transfer function:  $T(s) = \frac{G(s)}{1 + G(s)} = \frac{b(s)}{a(s) + b(s)}$
- ▶ Let  $\Delta(s) = 1 + G(s)$ 
  - ▶ The closed-loop poles are the zeros of  $\Delta(s)$
  - ▶ The open-loop poles are the poles of  $\Delta(s)$ :

$$\Delta(s) = 1 + G(s) = 1 + \frac{b(s)}{a(s)} = \frac{a(s) + b(s)}{a(s)}$$

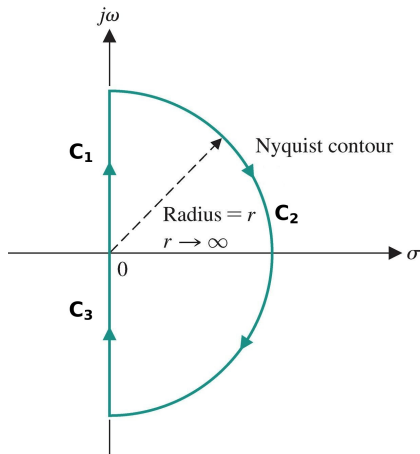
## Nyquist Contour

- ▶ To determine how many closed-loop poles lie in the closed right half-plane, we apply the Principle of the Argument to  $\Delta(s)$
- ▶ Define a clockwise contour  $C$  that covers the closed right half-plane



# Nyquist Contour

- ▶ The Nyquist contour is made up of three parts:
  - ▶ **Contour  $C_1$** : points  $s = j\omega$  on the positive imaginary axis, as  $\omega$  ranges from 0 to  $\infty$
  - ▶ **Contour  $C_2$** : points  $s = re^{j\theta}$  on a semi-circle as  $r \rightarrow \infty$  and  $\theta$  ranges from  $\frac{\pi}{2}$  to  $-\frac{\pi}{2}$
  - ▶ **Contour  $C_3$** : points  $s = j\omega$  on the negative imaginary axis, as  $\omega$  ranges from  $-\infty$  to 0



Copyright ©2017 Pearson Education, All Rights Reserved

## Nyquist Plot

- ▶ A **Nyquist plot** evaluates  $\Delta(s) = 1 + G(s)$  over the Nyquist contour  $C$
- ▶ Contour  $\Delta(C)$  is obtained by shifting contour  $G(C)$  by one unit to the right

Nyquist contour  $C \Rightarrow$  Nyquist plot  $G(C)$

- ▶ The contour  $G(C)$  is obtained by combining  $G(C_1)$ ,  $G(C_2)$ , and  $G(C_3)$ :
  - ▶ **Contour  $C_1$ :**
    - ▶ plot  $G(j\omega)$  for  $\omega \in (0, \infty)$  in the complex plane
    - ▶ equivalent to a **polar plot** for  $G(s)$
  - ▶ **Contour  $C_2$ :**
    - ▶ plot  $G(re^{j\theta})$  for  $r \rightarrow \infty$  and  $\theta$  from  $\frac{\pi}{2}$  to  $-\frac{\pi}{2}$
    - ▶ as  $r \rightarrow \infty$ ,  $s = re^{j\theta}$  dominates every factor it appears in
    - ▶ if  $G(s)$  is strictly proper, then  $G(re^{j\theta}) \rightarrow 0$
    - ▶ if  $G(s)$  is not strictly proper, then  $G(re^{j\theta}) \rightarrow \text{const}$
  - ▶ **Contour  $C_3$ :**
    - ▶ plot  $G(j\omega)$  for  $\omega \in (-\infty, 0)$  in the complex plane
    - ▶  $G(-j\omega)$  is the complex conjugate of  $G(j\omega)$
    - ▶  $G(-j\omega)$  and  $G(j\omega)$  have the same magnitude but opposite phases
    - ▶  $G(C_3)$  is a reflected version of  $G(C_1)$  about the real axis

## Nyquist Plot: Example 1

- ▶ Draw a Nyquist plot of  $G(s) = \frac{s+1}{s+10}$
- ▶ **Contour**  $C_1$ :  $s = j\omega$  with  $\omega \in (0, \infty)$ :
  - ▶  $\omega = 0$  and  $\omega \rightarrow \infty$ :

$$G(j0) = \frac{1}{10} \angle 0^\circ \qquad G(j\infty) = 1 \angle 0^\circ$$

- ▶ for  $0 < \omega < \infty$ :

$$|G(j\omega)| = \frac{1}{10} \frac{\sqrt{1+\omega^2}}{\sqrt{1+(\omega/10)^2}} \qquad \angle G(j\omega) = \tan^{-1}(\omega) - \tan^{-1}(\omega/10)$$

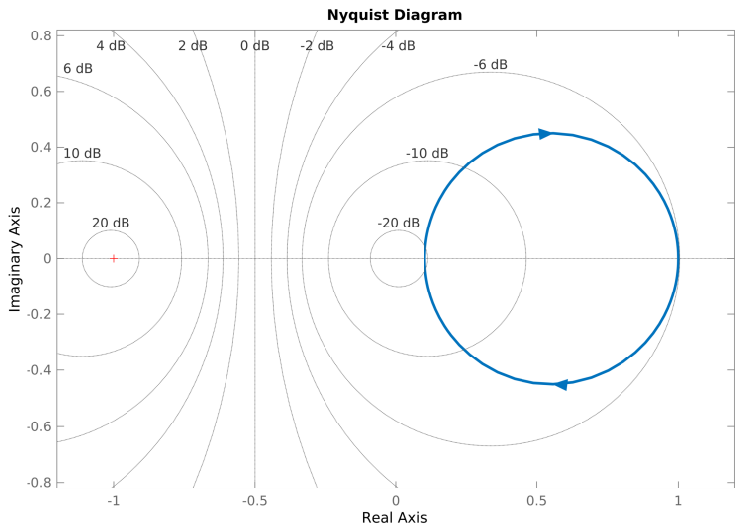
- ▶ **Contour**  $C_2$ :  $s = re^{j\theta}$  with  $r \rightarrow \infty$  and  $\theta$  from  $\frac{\pi}{2}$  to  $-\frac{\pi}{2}$ :

$$\lim_{r \rightarrow \infty} G(re^{j\theta}) = \lim_{r \rightarrow \infty} \frac{re^{j\theta} + 1}{re^{j\theta} + 10} = 1 \angle 0^\circ$$

- ▶ **Contour**  $C_3$ :  $s = j\omega$  with  $\omega \in (-\infty, 0)$ :
  - ▶  $G(C_3)$  is a reflection (complex conjugate) of  $G(C_1)$  about the real axis

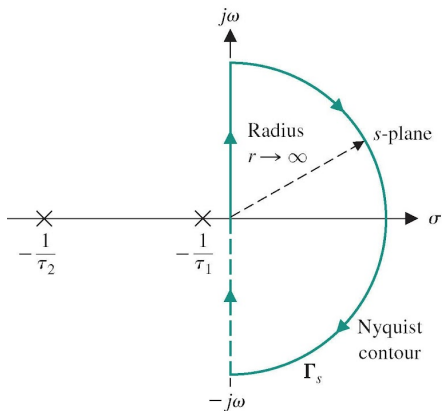
## Nyquist Plot: Example 1

- ▶ Nyquist plot of  $G(s) = \frac{s+1}{s+10}$
- ▶ Type 0 system as on Slide 51 of Lecture 9 with  $\lim_{r \rightarrow \infty} G(re^{j\theta}) = 1$

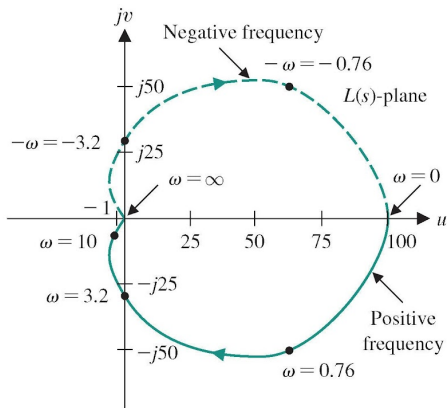


## Nyquist Plot: Example 2

- ▶ Draw a Nyquist plot of  $G(s) = \frac{\kappa}{(1+\tau_1 s)(1+\tau_2 s)} = \frac{100}{(1+s)(1+s/10)}$
- ▶ **Contour**  $C_1$ :  $G(j0) = \kappa \angle 0^\circ$ ,  $G(j\infty) = 0 \angle -180^\circ$
- ▶ **Contour**  $C_2$ :  $\lim_{r \rightarrow \infty} G(re^{j\theta}) = 0$



(a)

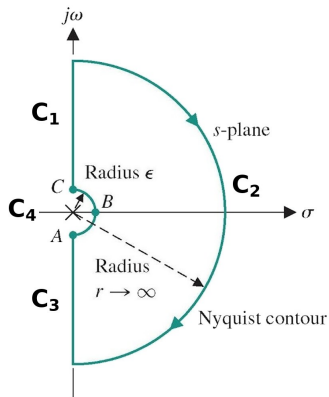


(b)



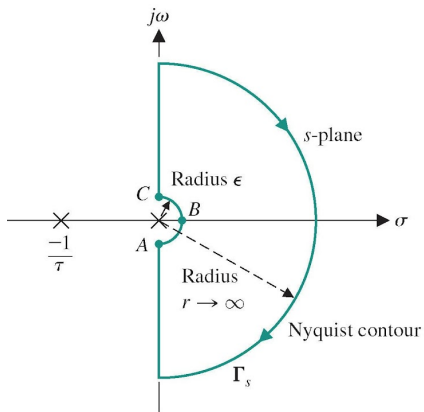
## Nyquist Plot: Poles on the Imaginary Axis

- ▶ The Principle of the Argument assumes  $C$  does not pass through zeros or poles of  $\Delta(s)$
- ▶ There might be poles of  $G(s)$  on the imaginary axis, which are poles of  $\Delta(s)$
- ▶ The Nyquist contour needs to be modified to take a small detour around poles of  $G(s)$  on the imaginary axis
- ▶ **Contour  $C_4$ :** avoid poles of  $G(s)$  at origin:
  - ▶ plot  $G(\epsilon e^{j\theta})$  for  $\epsilon \rightarrow 0$  and  $\theta \in (-\frac{\pi}{2}, \frac{\pi}{2})$
- ▶ If  $G(s)$  has other poles  $p$  on the imaginary axis, more contours need to be introduced. Substitute  $s = p + \epsilon e^{j\theta}$  into  $G(s)$  and examine what happens as  $\epsilon \rightarrow 0$  and  $\theta \in (-\frac{\pi}{2}, \frac{\pi}{2})$ .

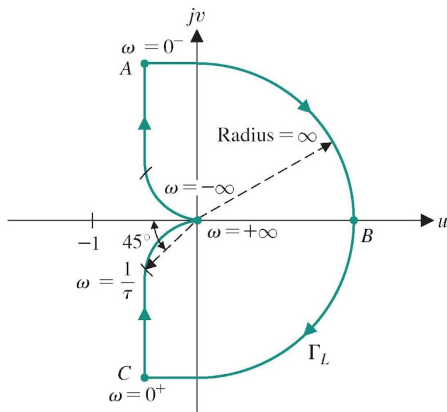


## Nyquist Plot: Example 3

- ▶ Draw a Nyquist plot of a type 1 system:  $G(s) = \frac{\kappa}{s(1+\tau s)}$
- ▶ Since there is a pole at the origin, we need to use a modified Nyquist contour



(a)



(b)

## Nyquist Plot: Example 3

- ▶ **Contour**  $C_1$ :  $s = j\omega$  with  $\omega \in (0, \infty)$ : polar plot as on Slide 58 of Lecture 9:

$$G(j0^+) = \infty \underline{-90^\circ}$$

$$G(j\infty) = \lim_{\omega \rightarrow \infty} \frac{\kappa}{j\omega(1 + j\omega T)} = \lim_{\omega \rightarrow \infty} \left| \frac{\kappa}{\tau\omega^2} \right| \underline{-90^\circ - \tan^{-1}(\omega T)} = 0 \underline{-180^\circ}$$

- ▶ **Contour**  $C_2$ :  $s = re^{j\theta}$  with  $r \rightarrow \infty$  and  $\theta$  from  $\frac{\pi}{2}$  to  $-\frac{\pi}{2}$ :

$$\lim_{r \rightarrow \infty} G(re^{j\theta}) = \lim_{r \rightarrow \infty} \left| \frac{\kappa}{\tau r^2} \right| e^{-2j\theta} = 0 \underline{-2\theta}$$

- ▶ The phase of  $G(s)$  changes from  $-180^\circ$  at  $\omega = \infty$  to  $180^\circ$  at  $\omega = -\infty$

- ▶ **Contour**  $C_3$ :  $s = j\omega$  with  $\omega \in (-\infty, 0)$ :

- ▶  $G(C_3)$  is a reflection (complex conjugate) of  $G(C_1)$  about the real axis

- ▶ **Contour**  $C_4$ :  $s = \epsilon e^{j\theta}$  with  $\epsilon \rightarrow 0$  and  $\theta \in (-\frac{\pi}{2}, \frac{\pi}{2})$ :

$$\lim_{\epsilon \rightarrow 0} G(\epsilon e^{j\theta}) = \lim_{\epsilon \rightarrow 0} \frac{\kappa}{\epsilon e^{j\theta}(1 + \tau \epsilon e^{j\theta})} \stackrel{\frac{1}{1+\epsilon} \approx 1-\epsilon}{=} -\kappa T + \lim_{\epsilon \rightarrow 0} \frac{\kappa}{\epsilon} e^{-j\theta} = \infty \underline{-\theta}$$

- ▶  $G(\epsilon e^{j\theta})$  approaches an asymptote at  $-\kappa T$  as  $\epsilon \rightarrow 0$

- ▶ The phase of  $G(s)$  changes from  $90^\circ$  at  $\omega = 0^-$  to  $-90^\circ$  at  $\omega = 0^+$

## Nyquist Plot: Example 4

▶ Draw a Nyquist plot of a type 1 system:  $G(s) = \frac{\kappa}{s(1+\tau_1s)(1+\tau_2s)}$

▶ **Contour**  $C_4$ :  $s = \epsilon e^{j\theta}$  with  $\epsilon \rightarrow 0$  and  $\theta \in (-\frac{\pi}{2}, \frac{\pi}{2})$ :

▶  $C_4$  maps into a semicircle with infinite radius as in Example 3:

$$G(j0) = \infty \underline{\angle -\theta}$$

▶ **Contour**  $C_2$ :  $s = re^{j\theta}$  with  $r \rightarrow \infty$  and  $\theta$  from  $\frac{\pi}{2}$  to  $-\frac{\pi}{2}$ :

▶  $C_2$  maps into a point at 0 with phase  $\underline{\angle -3\theta}$

▶ **Contour**  $C_1$ :  $s = j\omega$  with  $\omega \in (0, \infty)$ : polar plot as on Slide 59 of Lecture 9:

$$G(j\infty) = 0 \underline{\angle -270^\circ}$$

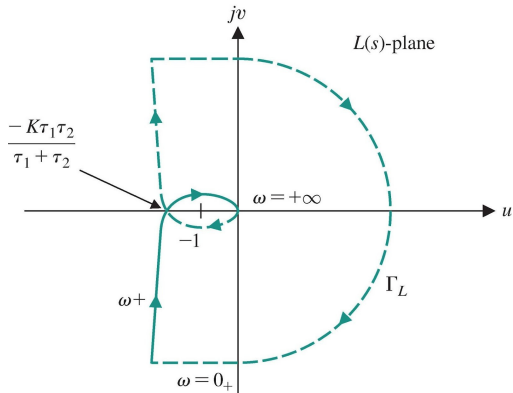
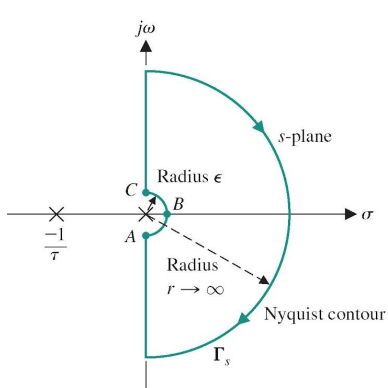
▶ **Contour**  $C_3$ :  $G(C_3)$  is a reflection of  $G(C_1)$  about the real axis

## Nyquist Plot: Example 4

► Contour  $C_1$  with  $\omega \in (0, \infty)$ :

$$G(j\omega) = \frac{\kappa}{j\omega(1+j\omega\tau_1)(1+j\omega\tau_2)} = \frac{-\kappa(\tau_1 + \tau_2) - j\kappa(1 - \omega^2\tau_1\tau_2)\omega}{1 + \omega^2(\tau_1^2 + \tau_2^2) + \omega^4\tau_1^2\tau_2^2}$$

$$= \frac{\kappa}{\sqrt{\omega^4(\tau_1 + \tau_2)^2 + \omega^2(1 - \omega^2\tau_1\tau_2)^2}} \angle -90^\circ - \tan^{-1}(\omega\tau_1) - \tan^{-1}(\omega\tau_2)$$



## Nyquist Plot: Example 5

- ▶ Draw a Nyquist plot of a type 2 system:  $G(s) = \frac{\kappa}{s^2(1+\tau s)}$
- ▶ Two poles at the origin  $\Rightarrow$  need to use a modified Nyquist contour
- ▶ Magnitude and phase:

$$G(j\omega) = \frac{\kappa}{(j\omega)^2(1+j\omega\tau)} = \frac{|\kappa|}{\sqrt{\omega^4 + \omega^6\tau^2}} \underline{\underline{-180^\circ - \tan^{-1}(\omega\tau)}}$$

- ▶ **Contour**  $C_1$ :  $s = j\omega$  with  $\omega \in (0, \infty)$ :

$$G(j0^+) = \infty \underline{\underline{-180^\circ}}$$

$$\begin{aligned} G(j\infty) &= \lim_{\omega \rightarrow \infty} \frac{\kappa}{(j\omega)^2(1+j\omega\tau)} = \lim_{\omega \rightarrow \infty} \left| \frac{\kappa}{\tau\omega^3} \right| \underline{\underline{-180^\circ - \tan^{-1}(\omega\tau)}} \\ &= 0 \underline{\underline{-270^\circ}} \end{aligned}$$

- ▶ **Contour**  $C_3$ :  $s = j\omega$  with  $\omega \in (-\infty, 0)$ :

- ▶  $G(C_3)$  is a reflection (complex conjugate) of  $G(C_1)$  about the real axis

## Nyquist Plot: Example 5

- Magnitude and phase:

$$G(j\omega) = \frac{\kappa}{(j\omega)^2(1 + j\omega\tau)} = \frac{|\kappa|}{\sqrt{\omega^4 + \omega^6\tau^2}} \underline{-180^\circ - \tan^{-1}(\omega\tau)}$$

- **Contour**  $C_2$ :  $s = re^{j\theta}$  with  $r \rightarrow \infty$  and  $\theta$  from  $\frac{\pi}{2}$  to  $-\frac{\pi}{2}$ :

$$\lim_{r \rightarrow \infty} G(s) = \lim_{r \rightarrow \infty} \frac{\kappa}{\tau s^3} = \lim_{r \rightarrow \infty} \left| \frac{\kappa}{\tau r^3} \right| e^{-3j\theta} = 0 \underline{-3\theta}$$

- The phase of  $G(s)$  changes from  $-270^\circ$  at  $\omega = \infty$  to  $270^\circ$  at  $\omega = -\infty$

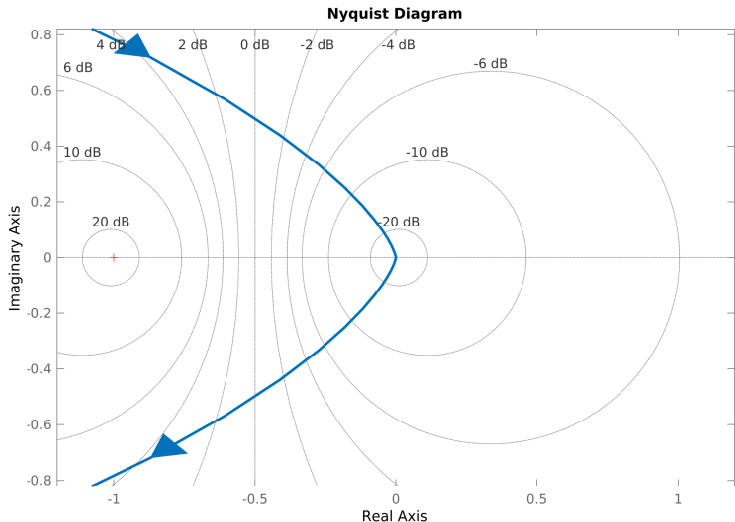
- **Contour**  $C_4$ :  $s = \epsilon e^{j\theta}$  with  $\epsilon \rightarrow 0$  and  $\theta \in (-\frac{\pi}{2}, \frac{\pi}{2})$ :

$$\lim_{\epsilon \rightarrow 0} G(s) = \lim_{\epsilon \rightarrow 0} \frac{\kappa}{s^2} = \lim_{\epsilon \rightarrow 0} \frac{\kappa}{\epsilon^2} e^{-2j\theta} = \infty \underline{-2\theta}$$

- The phase of  $G(s)$  changes from  $180^\circ$  at  $\omega = 0^-$  to  $-180^\circ$  at  $\omega = 0^+$

## Nyquist Plot: Example 5

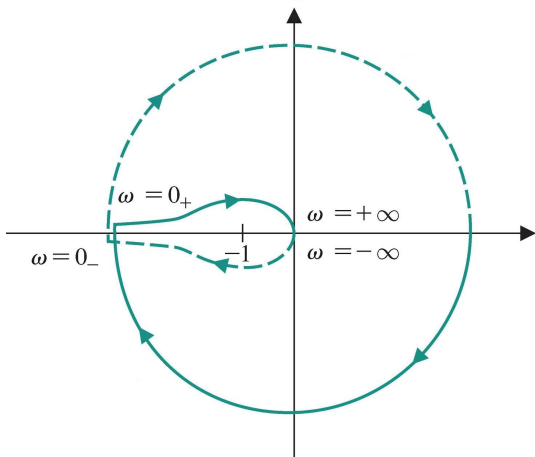
- ▶ Nyquist plot of a type 2 system:  $G(s) = \frac{\kappa}{s^2(1+\tau s)} = \frac{1}{s^2(s+1)}$
- ▶ **Caution:** Matlab's *nyquistplot* does not generate  $G(C_4)$





## Nyquist Plot: Example 5

- ▶ Nyquist plot of a type 2 system:  $G(s) = \frac{\kappa}{s^2(1+\tau s)}$



Copyright ©2017 Pearson Education, All Rights Reserved

## Nyquist Plot: Example 6

- ▶ Draw a Nyquist plot of  $G(s) = \frac{1}{(s+a)^3}$

$$G(j\omega) = \frac{1}{(j\omega + a)^3} = \frac{(a - j\omega)^3}{(a^2 + \omega^2)^3} = \frac{a^3 - 3a\omega^2}{(a^2 + \omega^2)^3} + j \frac{\omega^3 - 3a^2\omega}{(a^2 + \omega^2)^3}$$

- ▶ **Contour**  $C_1$ :  $s = j\omega$  with  $\omega \in (0, \infty)$ :

$$G(j0) = \frac{1}{a^3} \angle 0^\circ, \quad G(j\infty) = 0 \angle -270^\circ$$

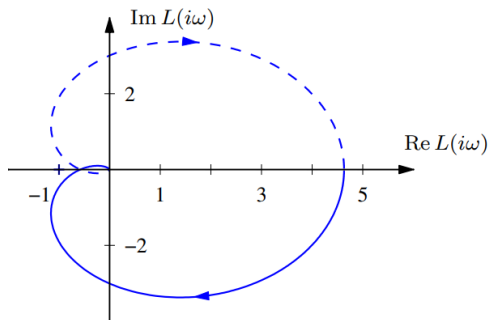
- ▶ **Contour**  $C_2$ :  $s = re^{j\theta}$  with  $r \rightarrow \infty$  and  $\theta$  from  $\frac{\pi}{2}$  to  $-\frac{\pi}{2}$ .

$$G(re^{j\theta}) = \frac{1}{(re^{j\theta} + a)^3} \rightarrow 0 \angle -3\theta$$

- ▶ **Contour**  $C_3$ : a reflection (complex conjugate) of  $G(C_1)$  about the real axis

## Nyquist Plot: Example 6

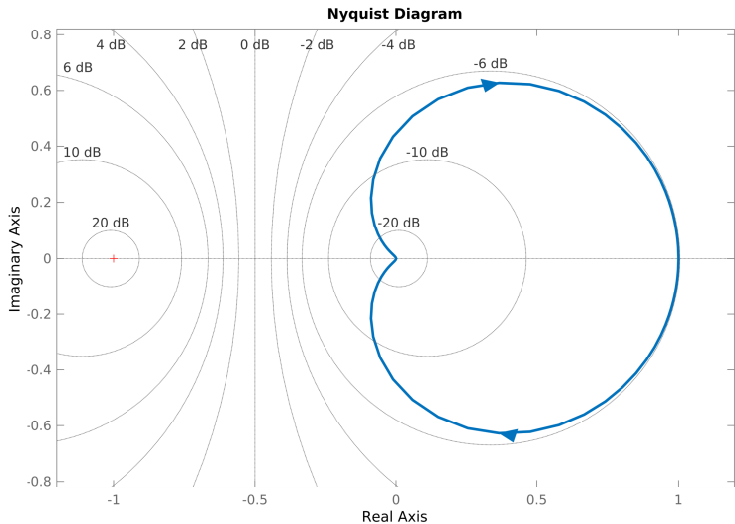
- Draw a Nyquist plot of  $G(s) = \frac{1}{(s+0.6)^3}$



**Figure 10.5:** Nyquist plot for a third-order transfer function  $L(s)$ . The Nyquist plot consists of a trace of the loop transfer function  $L(s) = 1/(s+a)^3$  with  $a = 0.6$ . The solid line represents the portion of the transfer function along the positive imaginary axis, and the dashed line the negative imaginary axis. The outer arc of the Nyquist contour  $\Gamma$  maps to the origin.

## Nyquist Plot: Example 7

- ▶ Draw a Nyquist plot of  $G(s) = \frac{s(s+1)}{(s+10)^2}$



## Nyquist's Stability Criterion

- ▶ Consider the stability of the closed-loop transfer function:

$$T(s) = \frac{G(s)}{1 + G(s)} = \frac{G(s)}{\Delta(s)}$$

- ▶ **Open-loop poles:** the poles of  $\Delta(s)$  are the poles of  $G(s)$
- ▶ **Closed-loop poles:** the zeros of  $\Delta(s)$  are the poles of  $T(s)$
- ▶ Principle of the Argument applied to  $\Delta(s) = 1 + G(s)$ :
  - ▶ Let  $C$  be a Nyquist contour
  - ▶ Let  $P$  be the number of poles of  $\Delta(s)$  (open-loop poles) inside  $C$
  - ▶ Let  $Z$  be the number of zeros of  $\Delta(s)$  (closed-loop poles) inside  $C$
  - ▶ Then,  $\Delta(C)$  encircles the origin in clockwise direction  $N = Z - P$  times

## Nyquist's Stability Criterion

- ▶ From the Principle of the Argument applied to  $\Delta(s)$ , the number of closed-loop poles in the closed right half-plane is:

$$Z = N + P$$

where:

- ▶  $N$ : the clockwise encirclements of the origin by  $\Delta(C)$  correspond to the clockwise encirclements of  $-1 + j0$  by  $G(C)$  and can be determined from a Nyquist plot of  $G(s)$
- ▶  $P$ : the number of poles of  $\Delta(s)$  inside  $C$  corresponds to the number of poles of  $G(s)$  inside  $C$  and can be determined from  $G(s)$  or its Bode plot

## Nyquist's Stability Criterion

Consider a unity feedback control system with open-loop transfer function  $G(s)$ . Let  $C$  be a Nyquist contour. The system is stable if and only if the number of counterclockwise encirclements of  $-1 + j0$  by  $G(C)$  is equal to the number of poles of  $G(s)$  inside  $C$ .

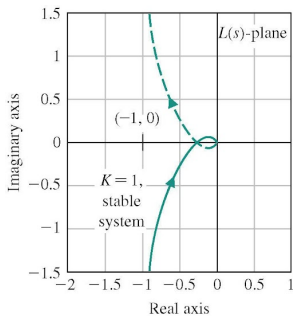
## Nyquist Stability: Example 4

- ▶ Determine the closed-loop stability of  $G(s) = \frac{\kappa}{s(1+\tau_1s)(1+\tau_2s)} = \frac{\kappa}{s(1+s)^2}$
- ▶  $G(C_1)$  crosses the real axis when:

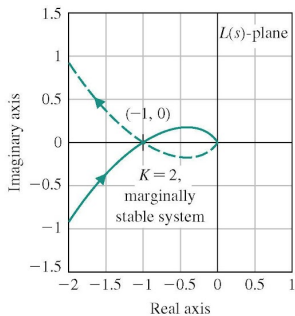
$$G(j\omega) = \frac{-\kappa(\tau_1 + \tau_2) - j\kappa(1 - \omega^2\tau_1\tau_2)\omega}{1 + \omega^2(\tau_1^2 + \tau_2^2) + \omega^4\tau_1^2\tau_2^2} = \alpha + j0$$

$$\Rightarrow \omega = \frac{1}{\sqrt{\tau_1\tau_2}} \quad \alpha = -\frac{\kappa\tau_1\tau_2}{\tau_1 + \tau_2}$$

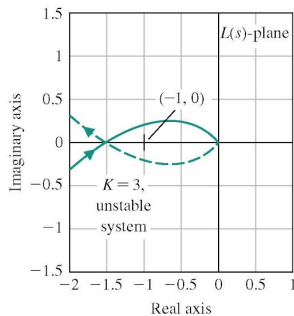
- ▶ The system is stable when  $\alpha = -\frac{\kappa\tau_1\tau_2}{\tau_1 + \tau_2} \geq -1$



(a)



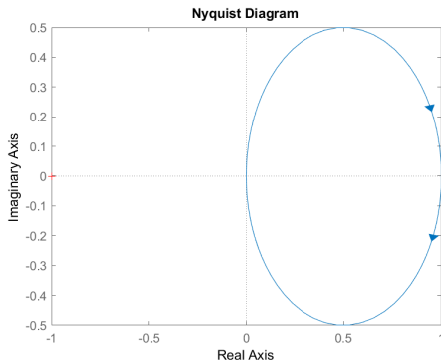
(b)



(c)

## Nyquist Plot: Example 8

- ▶ Open-loop transfer function:  $G(s) = \frac{1}{s+1}$
- ▶ Number of closed-loop poles in CRHP:  $Z = N + P = 0$

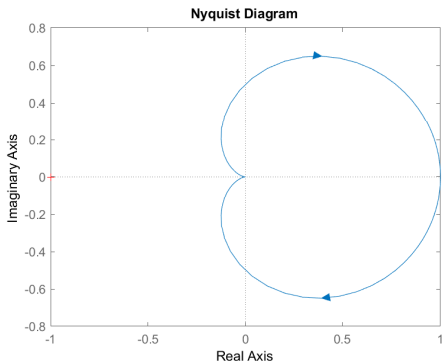


- ▶ Closed-loop transfer function:  $T(s) = \frac{G(s)}{1+G(s)} = \frac{1}{s+2}$



## Nyquist Plot: Example 9

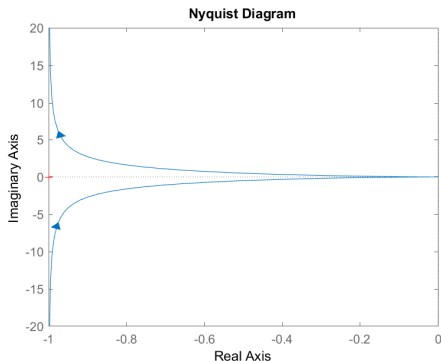
- ▶ Open-loop transfer function:  $G(s) = \frac{1}{(s+1)^2}$
- ▶ Number of closed-loop poles in CRHP:  $Z = N + P = 0$



- ▶ Closed-loop transfer function:  $T(s) = \frac{G(s)}{1+G(s)} = \frac{1}{s^2+2s+2}$

## Nyquist Plot: Example 10

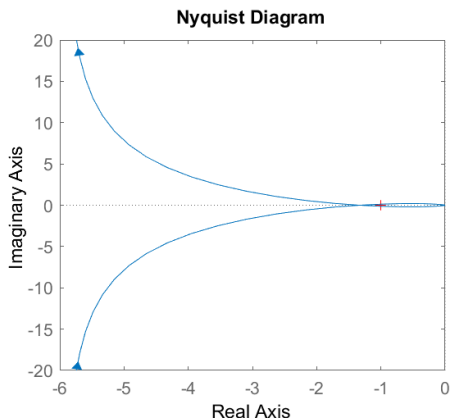
- ▶ Open-loop transfer function:  $G(s) = \frac{1}{s(s+1)}$
- ▶ Number of closed-loop poles in CRHP:  $Z = N + P = 0$



- ▶ Closed-loop transfer function:  $T(s) = \frac{G(s)}{1+G(s)} = \frac{1}{s^2+s+1}$

## Nyquist Plot: Example 11

- ▶ Open-loop transfer function:  $G(s) = \frac{1}{s(s+1)(s+0.5)}$
- ▶ Number of closed-loop poles in CRHP:  $Z = N + P = 2$



- ▶ Closed-loop transfer function:  $T(s) = \frac{G(s)}{1+G(s)} = \frac{1}{s^3+1.5s^2+0.5s+1}$
- ▶ Closed-loop poles:  $p_{1,2} = 0.0416 \pm j0.7937$  and  $p_3 = -1.5832$